

# Estimation of the phase diagram for the $ZrO_2$ – $Y_2O_3$ – $CeO_2$ system

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## Abstract

Comprehensive descriptions of the thermodynamic properties and experimental information in three oxide systems  $ZrO_2$ – $Y_2O_3$ ,  $ZrO_2$ – $CeO_2$  and  $Y_2O_3$ – $CeO_2$  are given and thermodynamic models for the calculation of these systems are discussed. The phase diagrams of the quasi-ternary  $ZrO_2$ – $Y_2O_3$ – $CeO_2$  system in the zirconia-rich corner are estimated at different temperatures with a substitutional model and Muggianu's extrapolation. The equilibrium phase diagram calculation is extended to low temperatures, as well as the Gibbs free energy of the tetragonal, monoclinic and cubic phases of zirconia doped with yttria and ceria. © 2001 Elsevier Science Ltd. All rights reserved.

**Keywords:**  $CeO_2$ ; Phase equilibria; Thermodynamic calculation;  $Y_2O_3$ ;  $ZrO_2$

## 1. Introduction

$ZrO_2$ -containing ceramics are of great importance in many applications such as high temperature electrolytes in fuel cells, magnetohydrodynamic electrodes, oxygen gas sensors, heat-resistant linings of high temperature furnaces, biomaterials, and others.<sup>1,2</sup> Besides, due to the stress induced martensite transformation occurring in this material, through which the metastable tetragonal phase can spontaneously transform to the monoclinic phase, an associated shape memory effect might yield another tremendous potential for applications of this material. Moreover,  $ZrO_2$ -containing ceramics also find their way to applications in the engineering field. As known,  $Y_2O_3$  doping of  $ZrO_2$  results in a material with very high toughness and strength, and acceptable hardness. Doping of  $ZrO_2$  with  $CeO_2$  even results in tougher materials, but the hardness is not high enough to be used for wear applications. In order to document the effect of combined

$CeO_2$  and  $Y_2O_3$  doping of  $ZrO_2$  ceramics, this work aims to estimate the phase diagrams of  $ZrO_2$ – $Y_2O_3$ – $CeO_2$  system and the Gibbs free energy of phases in the  $ZrO_2$ -rich corner at different temperatures, based on the knowledge of the limiting quasi-binary systems so as to offer suggestions for further development and potential applications.

## 2. Experimental information and previous assessments of the limiting quasi-binaries

There have been a lot of experimental determinations of phase equilibria in the  $ZrO_2$ -containing systems especially in the quasi-binaries of  $ZrO_2$ – $Y_2O_3$  and  $ZrO_2$ – $CeO_2$ . Early work may be recalled from the 1950s which was contributed by Duwez et al.<sup>3,4</sup> However, because of the sluggish reaction kinetics, the formation of metastable phases during long time sintering, the difficulties in conducting experiments at high temperatures, there was no general agreement between the early and recent works. Much research has been done in  $ZrO_2$ -containing systems since 1960. Rouanet,<sup>5</sup> found that the liquidus temperature rose slightly as the yttria content increased, reaching a rather flat maximum at about 37.4 mol%

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$\text{YO}_{1.5}$  and  $2800^\circ\text{C}$ , and then fell to the eutectic point. Stubican et al.<sup>6</sup> determined again part of the liquidus in the yttria rich corner and found their results agreed well with that of Rouanet,<sup>5</sup> but suggested a peritectic reaction in the system. Noguchi et al.<sup>7</sup> determined the liquidus which was lower than that of Rouanet.<sup>5</sup> Since it was very difficult to identify the liquidus from these experimental results, Du et al.<sup>8,39</sup> used two sets of liquidus data. One from Rouanet<sup>5</sup> together with data of Stubican<sup>6</sup> at the high temperatures. The other was from Noguchi et al.<sup>7</sup> For convenience, the optimised results will be designated here as set A and set B, respectively.

Besides, the stoichiometric phase  $\text{Y}_2\text{Zr}_2\text{O}_7$ , postulated by Rouanet<sup>9</sup> was not found by others. Ray<sup>10</sup> showed afterwards that long time annealing of a  $2\text{ZrO}_2\text{Y}_2\text{O}_3$  mixture did not yield the ordered pyrochlore compound. However, the hexagonal  $\text{Y}_4\text{Zr}_3\text{O}_{12}$  compound was found, which decomposed above  $1250^\circ\text{C}$ . This result agreed well with other work and was accepted in the optimisation of Du et al.<sup>8</sup>

Early conflicts concerned the existence of a distinct two-phase region (fcc solid solution + bcc solid solution) or whether the structures of these phases were essentially the same. In the optimisation,<sup>8,39</sup> only the single phase was considered.

The solubility of  $\text{Y}_2\text{O}_3$  in tetragonal  $\text{ZrO}_2$  in the  $\text{ZrO}_2$ -rich portion was firstly measured by Srivastava et al.<sup>11</sup> and their work matched well with many other measurements. Ruh et al.<sup>12</sup> reported smaller values, but Pascual<sup>13</sup> published larger ones. Therefore, the solubility data in Refs. 12 and 13 were not used in the optimisation of Du et al.<sup>8,39</sup> The calculated  $\text{ZrO}_2$ - $\text{YO}_{1.5}$  phase diagram with data set B of Du et al.<sup>8</sup> is shown in Fig. 1. In order to save space, the tetragonal, monoclinic and cubic solid solutions are abbreviated as tss, mss and css, respectively. The  $\text{YO}_{1.5}$ ,  $\text{ZrO}_2$  and  $\text{CeO}_2$  components are

abbreviated as YO, ZO and CE. Some experimental results, on which the authors relied heavily during the calculation are plotted on the diagram. It is found that though the system is rather complicated and there exist miscellaneous reports about the solubility limits and phase boundaries, the optimised result is still satisfactory in comparison with the experimental results.

The original optimisation<sup>8,39</sup> was performed with the help of the Lukas program; in the present work, the calculated parameters have been transferred into ThermoCalc software format since the estimation of the quasi-ternary system was performed using this software.

Apparent discrepancies occurred in previous reports in the  $\text{ZrO}_2$ - $\text{CeO}_2$  system, especially in the high temperature  $\text{ZrO}_2$ -rich solid solution region as well as on the possible low temperature ordering phenomena. Using precision lattice parameter measurements for the investigation of high temperature phase boundaries and DTA for the low temperature phase region and eutectoid reaction, Duran et al.<sup>14</sup> reported that in the high temperature range there existed two phases as Fss (fcc solid solution) + Css (cubic solid solution) extending from 18 mol%. They suggested the existence of a eutectic at  $2300^\circ\text{C}$  and 24 mol%  $\text{CeO}_2$ . Besides, they also confirmed the existence of the  $\text{Ce}_2\text{Zr}_3\text{O}_{10}$  compound in the low temperature range, which met well with the results of Longo.<sup>15</sup> However, Ramesh et al.<sup>16</sup> reported they found no evidence of the metastable phase  $\text{Ce}_2\text{Zr}_3\text{O}_{10}$  after microwave sintering of  $\text{ZrO}_2$ - $\text{CeO}_2$  at  $1500^\circ\text{C}$ . Yashima et al.<sup>17</sup> pointed out that earlier work did not take into account the fact that the  $\text{Ce}^{+4}$  ion partially reduced to  $\text{Ce}^{+3}$  at about  $1400^\circ\text{C}$  so that the high temperature portion of the  $\text{ZrO}_2$ - $\text{CeO}_2$  phase diagram determined by experiments was actually that of  $(\text{Zr}, \text{Ce}^{+4}, \text{Ce}^{+3})\text{O}_{2-x}$ . They labelled this part of the diagram as “defective”. These authors also discussed the possibility of the

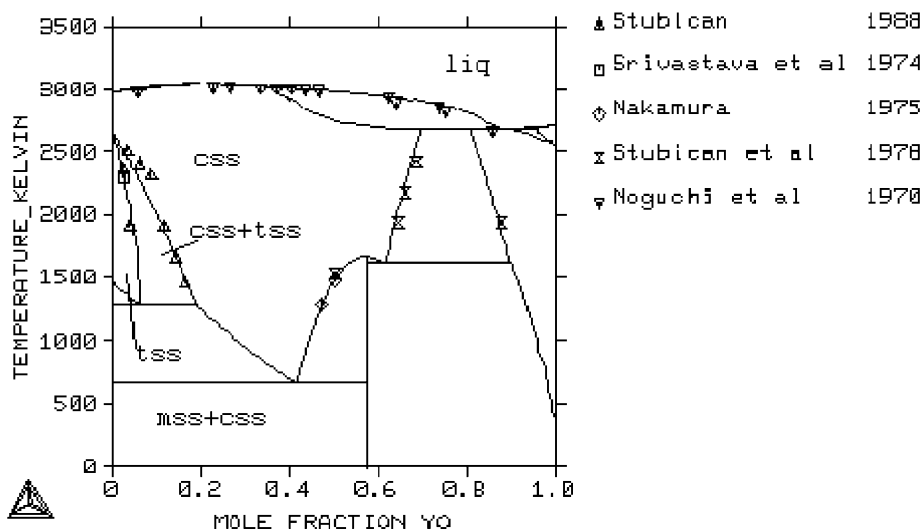


Fig. 1. Calculated and experimental results<sup>8,39</sup> of the  $\text{ZrO}_2$ - $\text{YO}_{1.5}$  system.

existence of the ordering phase  $\text{Ce}_2\text{Zr}_3\text{O}_{10}$ , which they did not confirm but explained that the confusion about the existence was caused by a cation-diffusionless phase transformation producing the metastable  $t'$  phase.

A thermodynamic evaluation of the  $\text{ZrO}_2$ – $\text{CeO}_2$  system was contributed by Du et al.<sup>18,40</sup> These authors made an extensive literature search and put many of the experimental results into optimisation. They produced optimised phase diagrams and also the  $T_0$  lines, i.e. the temperature where the Gibbs free energies of the tss and mss are equal

In order to give a clear description of the system, especially below  $1400^\circ\text{C}$ , Tani et al.<sup>19</sup> argued that these discrepancies might be caused by the extremely sluggishness of the cation diffusion in comparison with the oxygen diffusion and an equilibrium state could not be obtained by normal heat treating procedures even after long time annealing. Those authors<sup>20</sup> accelerated the reaction velocity at low temperatures under hydrothermal conditions and pointed out that the influences of such treatment only affected the kinetics of the reaction but had no effect on the characteristics of the final products. These authors thus established the phase diagram of this system again through careful work to obtain not only the solubilities of phases at low temperatures but also the stability of the stoichiometric phase. Based on the experimental work of Refs.19 and 20, one of the present authors<sup>21,41</sup> evaluated the  $\text{ZrO}_2$ – $\text{CeO}_2$  system thermodynamically with the subregular model. Lattice stability parameters of  $\text{ZrO}_2$  of liquid, cubic, tetragonal and monoclinic phases were taken from Du et al.<sup>8,39</sup> In order to give a good description of the thermodynamic properties of the tetragonal and monoclinic phase which are very important for applications, the tss and mss phase boundaries were carefully fitted<sup>21,41</sup> with the Lukas program.<sup>22</sup> As plotted in Fig. 2, there still exist differences between the calculated and experimental

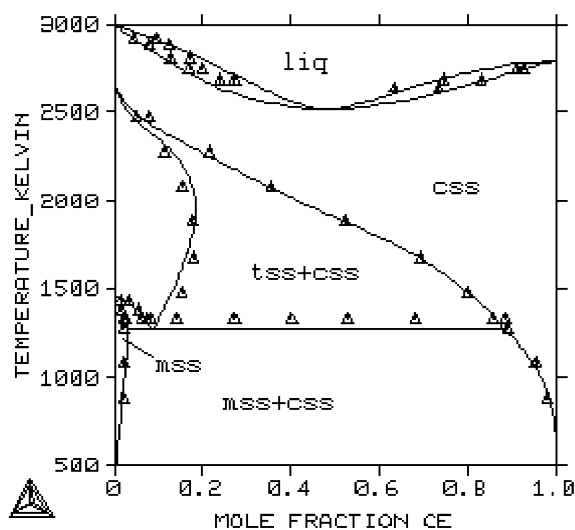


Fig. 2. Calculated and experimental results<sup>19</sup> of the  $\text{ZrO}_2$ – $\text{CeO}_2$  system.

eutectoid temperature. However, if this line is fitted very well, the boundary of mss and tss phases can not be optimised satisfactorily. Considering the importance of these phases and taking into account the measurement error on the eutectoid temperature, which was about  $50^\circ\text{C}$ ,<sup>19</sup> the authors<sup>21,41</sup> preferred to maintain the results as shown in Fig. 2. The calculated result was transferred into Thermo-Calc format for the same reason as stated above and shown in Fig. 2. together with the experimental results of Tani.<sup>19</sup> The optimised solution coefficients and lattice stability parameters were used to calculate the  $T_0$  temperature and martensite transformation temperature  $M_s$ <sup>23,24</sup> as well.

The available experimental information on the  $\text{Y}_2\text{O}_3$ – $\text{CeO}_2$  quasi-binary is very limited. Longo and Podda<sup>25,26</sup> used  $\text{CeO}_2$  (99.9% pure) and  $\text{Y}_2\text{O}_3$  (99.99% pure) to prepare stoichiometric mixtures, which were homogenised in an agate mortar for 4 h, pressed and pre-heated at  $1400^\circ\text{C}$  for 500 h. After these treatments, the specimens were re-fired at different temperatures for times long enough to ensure the attainment of true equilibrium conditions. In every case, they checked the completion of the reaction and considered the reactions to be completed only when successive microscopic observations revealed no variations in the number and proportions of the phases present and the intensities of diffraction lines remained unchanged in successive X-ray diffraction pattern measurements. They froze the phase relations at high temperatures through air quenching. They confirmed that there was no compound formed under the operation, but there existed two extensive solid solutions. The solubility limit of the css and yss solid solution phases at various temperatures were determined by accurate measurement of the lattice parameters. They argued that this measurement was valid since the variation of the lattice parameters of two solutions was just a function of composition and agreed well with Vegard's Law; the slopes of the lines at various temperatures also coincided well. However, in spite of the early statement of the existence of two phases,<sup>25,26</sup> some of the present authors<sup>27</sup> estimated the thermodynamic properties of the css(yss) phase by treating them as a miscibility gap according to the detected solubility limits of  $\text{CeO}_2$  in yss and  $\text{YO}_{1.5}$  in css in the  $\text{Y}_2\text{O}_3$ – $\text{CeO}_2$  system.<sup>25,26</sup> This consideration maintained consistent with the previous work.<sup>8,39</sup> The calculated phase field and the measured solubility limits<sup>25,26</sup> are shown in Fig. 3. Ref. 27 also extended the css + yss phase region into the  $\text{ZrO}_2$ – $\text{Y}_2\text{O}_3$ – $\text{CeO}_2$  system using the Bonnier equation and this agreed well with the experimental results.

### 3. Thermodynamic model

Thermodynamic modelling of oxide systems sometimes becomes complicated. As temperature increases,

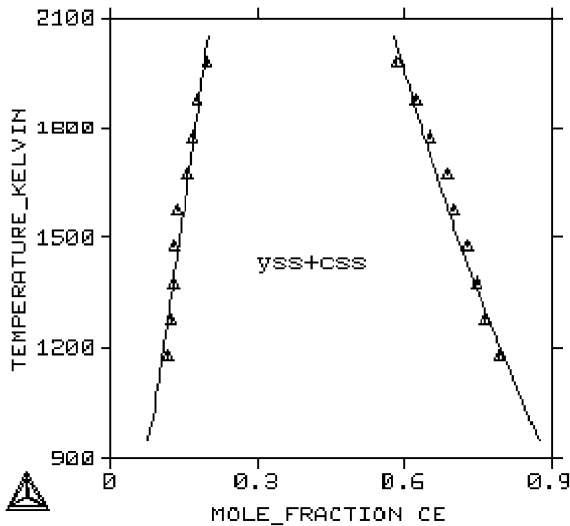


Fig. 3. Calculated and experimental results<sup>25,26</sup> of the  $\text{YO}_{1.5}\text{-CeO}_2$  system.

the well-ordered arrangements of the crystal lattice might break down. When long range order disappears, short range order could still exist but decreases at higher temperatures. It is also possible in oxide systems that there exist different tendencies of chemical ordering according to the kind of ions present. In the molten state, there might exist molecular-like associations between unlike atoms.<sup>28</sup> In order to give a better description of such situation, Hillert and Staffansson<sup>29</sup> developed a two-sublattice model suggesting vacancy at one of the sublattices. Afterwards, Fernandez Guillermet et al.<sup>30</sup> introduced vacancies at both sublattices, which might fit the requirements of structure and electro-neutrality. However, in the case of the liquid phase without molecular-like associates or in the case of crystalline phases without shortage of elements in sublattices, the problem in oxides become easier. Kaufman and Nessor<sup>31</sup> contributed firstly a substitutional model which considered an oxide as one pure component in the formalism of the Gibbs free energy of phases. If none of the complications mentioned above arise, this model has been applied successfully to many oxide systems by some of the present authors.<sup>32–34</sup> The present authors also demonstrated that in the case stated above, the substitutional model is represented by exactly the same mathematical formalism as the sublattice model, when the valence of the cations remained the same.<sup>35</sup>

For the  $\text{ZrO}_2\text{-Y}_2\text{O}_3\text{-CeO}_2$  system, there are several reports concerning the lattice structure.<sup>36–38</sup> Esquivias et al.<sup>36</sup> prepared zirconia–yttria solid solutions through controlled hydrolysis of alkoxides, and found by X-ray absorption spectroscopy (XAS) measurements that Y atoms were in the  $\text{ZrO}_2$  lattice from the very beginning of the process and exhibited some short-range order. With the dark field image technique and the measure-

ment of diffraction patterns of the phases, Lelait et al.<sup>37</sup> found that there was one  $(\text{Zr}, \text{Y})\text{O}_2$  group on each lattice point in the cubic FCC lattice and two such groups in the C-face centered tetragonal lattice. However, the authors did not explain how to fit the requirements of electrical neutrality during the change of position of cations. Kondoh et al.<sup>38</sup> pointed out that when  $\text{ZrO}_2$  is doped with  $\text{Y}_2\text{O}_3$ , the solid solution is formed by substituting  $\text{Y}^{+3}$  for  $\text{Zr}^{+4}$  and forming  $\text{O}^{-2}$  vacancies so as to maintain electrical neutrality. Through XAS study, these authors showed a decrease in the first neighbour coordination number of Zr ions implying the occurrence of short range order of oxygen ion and vacancies. These descriptions showed some aspects about the physical structures. However, it is still not enough to give a clear image of the structures, which could be used to account for the mixing with the sublattice model. In order to estimate ternary diagrams of this system, the substitutional model has still been applied in the present work, where the Gibbs free energy of one mole solution phase in the structure  $\phi$  in the binary system is written as:

$$G_m^\phi(x, T) = \sum_{i=1}^2 x_i G_i^\phi(T) + RT \sum x_i \ln x_i + x_1 x_2 \sum_{j=0}^1 k_{(j+3)} (x_1 - x_2)^j \quad (1)$$

In Eq. (1), the first summation is the mechanical mixing of the pure components, the second refers to the ideal solution, and the third to the Gibbs excess energy, which is in the format of a Redlich–Kister polynomial.  $K_j$  in Eq. (1) can be expressed as:

$$K_j = A_j + B_j T + C_j T \ln T + D_j T^2 + E_j / T + F_j T^3 \quad (2)$$

The Gibbs free energy of a ternary solution phase has been obtained through the extrapolation from the binary solution phases on the basis of Muggianu's equation. According to this equation and in a subregular solution model, the last term in Eq. (1) expands to:

$$G_{\text{mix}}^{xs} = x_A x_B (L_{AB}^0 + L_{AB}^1 (x_A - x_B)) + x_B x_C (L_{BC}^0 + L_{BC}^1 (x_B - x_C)) + x_A x_C (L_{AC}^0 + L_{AC}^1 (x_A - x_C)) \quad (3)$$

Other widely used geometric methods, such as the equations of Kohler has also been used to estimate the ternary system in the present work. However, Muggianu's equation is found to give the best fitting of the experimental information in the present system.

#### 4. Estimation of the quasi-ternary system

Lattice stability parameters for  $ZrO_2$ ,  $Y_2O_3$  and  $CeO_2$  were taken from Du et al.<sup>6</sup> and Li et al.<sup>19</sup> and are listed in Table 1, where l- $ZrO_2$ , c- $ZrO_2$ , t- $ZrO_2$ , m- $ZrO_2$  referred to the liquid, cubic, tetragonal and monoclinic phases of zirconia, respectively. Model parameters for  $ZrO_2$ – $Y_2O_3$ ,<sup>8,39</sup>  $ZrO_2$ – $CeO_2$ <sup>21</sup> and  $Y_2O_3$ – $CeO_2$ .<sup>27</sup> Quasi-binaries are listed in Table 2. Moreover, there do not exist any metastable tss and mss phases in the  $Y_2O_3$ – $CeO_2$  system, these occur as limited phase regions in the  $ZrO_2$ – $Y_2O_3$  and  $ZrO_2$ – $CeO_2$  systems at the high  $ZrO_2$  content corner. Thus the model parameters for these metastable phases in the  $Y_2O_3$ – $CeO_2$  system were assumed to be big numbers and put into the calculation. These parameters were combined together to estimate the phase diagrams at zirconia-rich corner. It should also be mentioned that

Table 1  
Summary of lattice stability parameters (units in J/mol of cation)

${}^oG^{l-ZrO_2} = 0.0$	${}^oG^{t-ZrO_{1.5}} = -35617.50 + 26.46250T$
${}^oG^{c-ZrO_2} = -87986.6 + 29.49600T$	${}^oG^{m-YO_{1.5}} = -14697.50 + 29.91500T$
${}^oG^{t-ZrO} = -93954.8 + 31.75500T$	${}^oG^{l-CeO_2} = 0.0$
${}^oG^{m-ZrO_2} = -99978.8 + 35.89800T$	${}^oG^{c-CeO_2} = -195800.00 + 70.018T$
${}^oG^{l-YO_{1.5}} = 0.0$	${}^oG^{t-CeO_2} = -87917.50 + 39.783T$
${}^oG^{c-YO_{1.5}} = 67419.30 + 25.10500T$	${}^oG^{m-CeO_2} = -11697.50 + 288.915T$

Table 2  
Summary of the solution parameters used in this work

Phase	Reference state	$K_3$ (J/mol of cation)	$K_4$ (J/mol of cation)
<i>ZrO<sub>2</sub>–YO<sub>1.5</sub> system</i>			
Liquid	Liquid $ZrO_2$	Liquid $YO_{1.5}$	$-183750.80 + 72.39814T$
css (yss)	c- $ZrO_2$	c- $YO_{1.5}$	$-12059.50 + 11.15647T$
hss	c- $ZrO_2$	h- $YO_{1.5}$	50419.50
tss	t- $ZrO_2$	t- $YO_{1.5}$	-25800.20
mss	m- $ZrO_2$	m- $YO_{1.5}$	$-58222.90 + 98.12601T$
$\Delta G1/7Zr_3Y_4O_{12} = 37^0 G^{c-ZrO_2} + 4/7^0 G^{c-YO_{1.5}} - 3284.3 - 2.26749T$			
<i>ZrO<sub>2</sub>–CeO<sub>2</sub> system</i>			
Liquid	Liquid $ZrO_2$	Liquid $YO_{1.5}$	$-243651.45 + 58.96182T$
css (yss)	c- $ZrO_2$	c- $CeO_2$	$108583.50 - 54.62258T$
tss	t- $ZrO_2$	t- $CeO_2$	-54284.36
mss	m- $ZrO_2$	m- $CeO_2$	$-146064.78 - 238.20385T$
<i>YO<sub>1.5</sub>–CeO<sub>2</sub> system</i>			
css (yss)	c- $YO_{1.5}$	c- $CeO_2$	$11168.97 + 11.00000T$
tss	t- $ZrO_2$	t- $CeO_2$	240T
mss	m- $ZrO_2$	m- $CeO_2$	400T

there exist two sets of solution parameters in the  $ZrO_2$ – $Y_2O_3$ <sup>8,39</sup> system, however only data set B has been adopted in the present work. The thermodynamic calculation of the zirconia-rich corner of the ternary system was conducted by Thermo-Calc software.

The predicted ternary phase diagrams of the zirconia-rich corner at different temperatures are shown in Fig. 4(a)–(g). Tie lines are also shown in Fig. 4(a)–(d). The Gibbs free energies, as functions of temperature, of mss, css and tss phases were also calculated and are shown in Fig. 5. for a material with composition: 10.0 mol%  $CeO_2$ , 1.0 mol%  $YO_{1.5}$  and 89.0 mol%  $ZrO_2$ .

In order to check the calculated phase diagrams, the present authors prepared three samples with the composition listed in Table 3. The powder mixtures were ground in pure ethanol for 24 h by planetary ball milling in a plastic bottle and then dried. Compacted pellets of dried powder were dry-pressed and subsequently heated in air at 1300°C for different times. Phase identification was performed by X-ray diffraction (XRD) in a Guinier-Hagg camera with  $CuK_{\alpha 1}$  radiation and Si as an internal standard. Annealing experiments were performed until consecutive X-ray diffraction analysis of the heated samples revealed no further variations in the number of phases and the intensities of the diffraction lines. After

Table 3  
Starting compositions and identified phases of the ceramic samples

Ceramic	$ZrO_2$ mol%	$Y_2O_3$ mol%	$CeO_2$ mol%	Phases
1	0.313	0.053	0.634	css + tss
2	0.277	0.077	0.646	css
3	0.444	0.112	0.444	css + tss

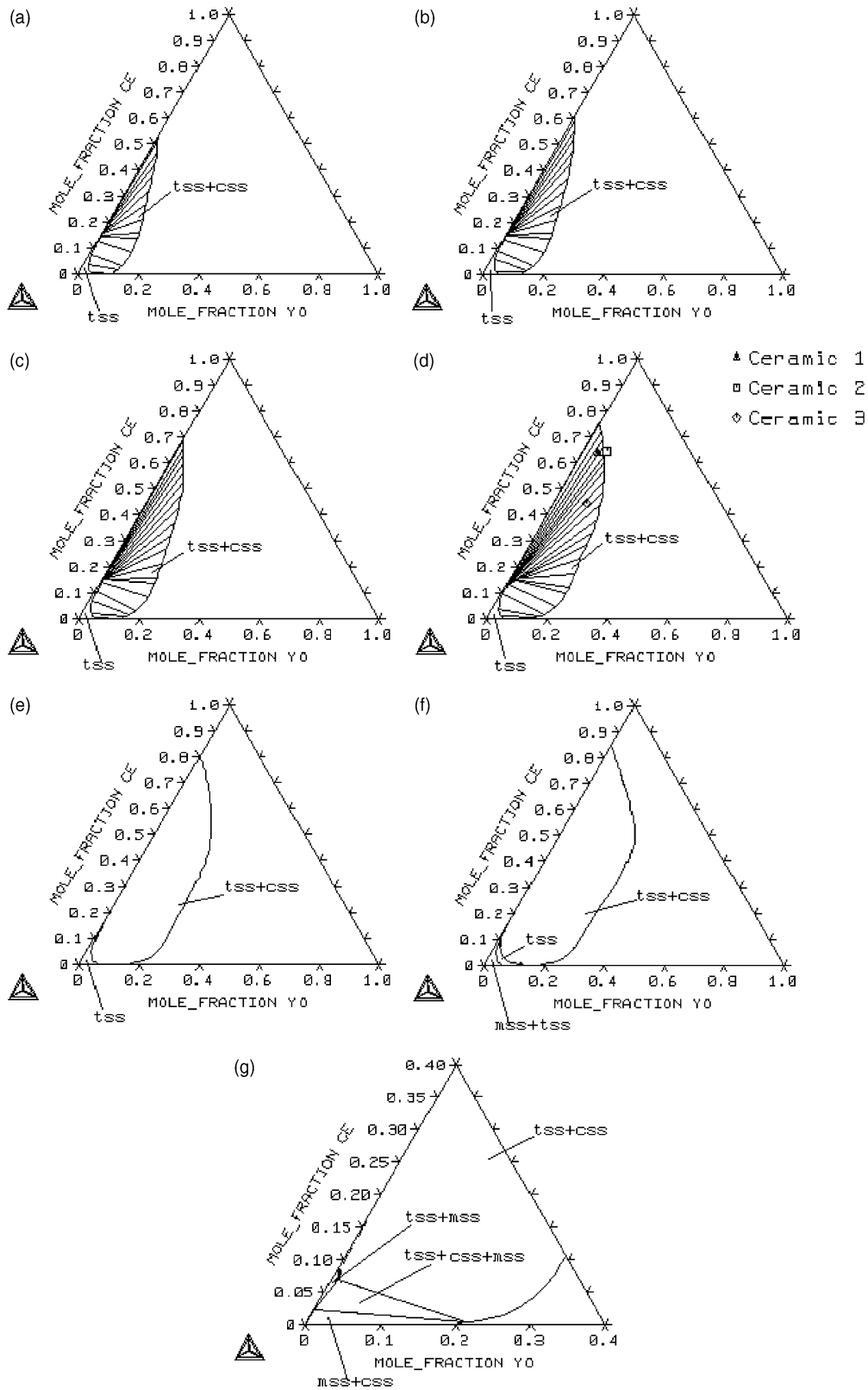


Fig. 4. Phase diagram of the zirconia-rich corner in the  $ZrO_2$ - $YO_{1.5}$ - $CeO_2$  system at (a) 1600°C; (b) 1500°C; (c) 1400°C; (d) 1300°C; (e) 1200°C; (f) 1100°C; (g) 1000°C.

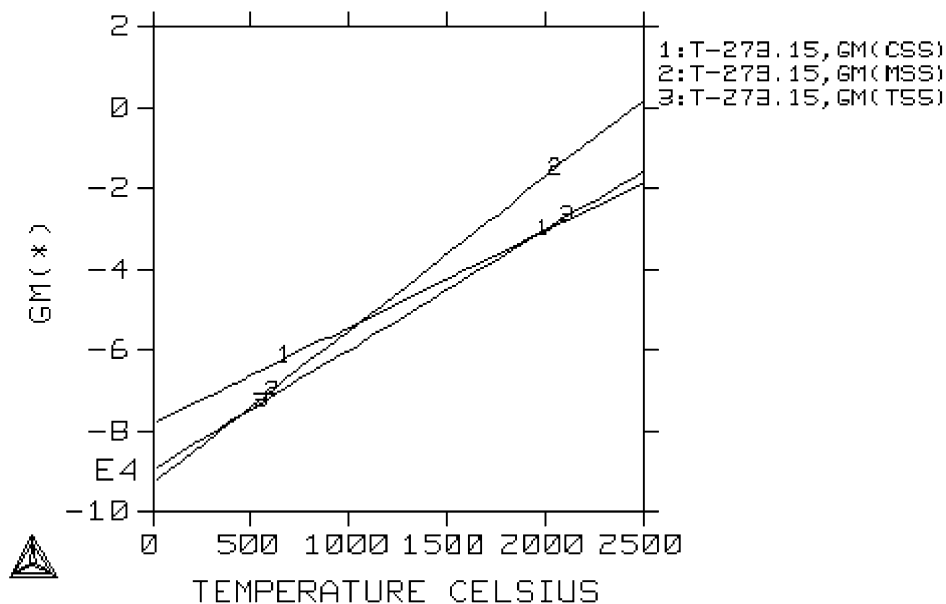


Fig. 5. Gibbs free energies of the mss, css and tss phases, where GM(\*) is in J/mol of cation.

annealing for 250 h, ceramic 2 was only composed of the css phase, whereas ceramics 1 and 3 were mainly constituted of css with a minor amount of tss solid solution. These experimental results agree very well with the calculated results, as shown in Fig. 4(d).

The equilibrium phase diagrams were also calculated at lower temperatures. At 1000°C, there is a three-phase region composed of tss, css and mss phases. On the ZrO<sub>2</sub>-rich side of this field there is a two-phase region of mss + css with single phase mss and css setting at both sides of the two-phase field. A narrow two-phase region of tss + mss was present, adjacent to the three-phase field. A single-phase tss region also exists but it is so small that it appears only as a thick line in the diagram plotted in Fig. 4(g). All these features agree well with the information from the limiting quasi-binaries, either calculated or measured.

From Fig. 5., one can obtain the  $T_0$  temperature, i.e. the temperature at which the Gibbs free energies of two different phases were equal. This information might be useful for the study of phase transformation and the preparation of samples in this system.

## 5. Summary

The phase diagrams in the zirconia-rich corner of the quasi-ternary ZrO<sub>2</sub>-Y<sub>2</sub>O<sub>3</sub>-CeO<sub>2</sub> system in the temperature region between 1000 and 1600°C have been estimated based on the comprehensive knowledge of the thermodynamic properties of the limiting quasi-binaries. Experimental verification has been also performed showing that the estimated phase diagrams are reliable. Equilibrium phase diagrams at lower temperatures are

consistent with the available experimental data and previously calculated information.

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